

Please type a plus sign (+) inside this box

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.	019417-000120US
First Inventor	Brown, William P.
Title	METHODS AND SYSTEMS FOR IMPLEMENTING SHARED DISK ARRAY MANAGEMENT FUNCTIONS
Express Mail Label No.	EL 823671828 US (December 6, 2001)

APPLICATION ELEMENTS

*See MPEP chapter 600 concerning design patent application contents.*

<input type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i>								
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.								
<input checked="" type="checkbox"/> Specification <i>(preferred arrangement set forth below)</i>	[Total Pages <table border="1" style="display: inline-table; vertical-align: middle;"> <tr><td style="width: 20px; height: 20px;"></td></tr> </table> <span style="border: 1px solid black; padding: 2px;">36</span>					<input type="button" value="Change"/>	<input type="button" value="Delete"/>	
<ul style="list-style-type: none"> <li>- Descriptive title of the Invention</li> <li>- Cross References to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (<i>if filed</i>)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul>								
<input checked="" type="checkbox"/> Drawing(s) (35 U.S.C.113)	[Total Sheets <table border="1" style="display: inline-table; vertical-align: middle;"> <tr><td style="width: 20px; height: 20px;"></td></tr> </table> <span style="border: 1px solid black; padding: 2px;">25</span>					<input type="button" value="Change"/>	<input type="button" value="Delete"/>	<input type="button" value="Add"/>
<b>5. Oath or Declaration</b>								
a. <input type="checkbox"/> Newly executed (original or copy)								
b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) <i>(for a continuation/divisional with Box 18 completed)</i>								
i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).								
<b>6. <input checked="" type="checkbox"/> Application Data Sheet.</b> See 37 CFR 1.76								

**ADDRESS TO**      Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (*Appendix*)

8. Nucleotide and/or Amino Acid Sequence Submission  
*(if applicable, all necessary)*

- a.  Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
  - i.  CD-ROM or CD-R (2 copies); or
  - ii.  paper number of pages
- c.  Statements verifying identity of above copies

#### **ACCOMPANYING APPLICATIONS PARTS**

9.  Assignment Papers (cover sheet & document(s))

10.  37 C.F.R. §3.73(b) Statement  Power of  
*(when there is an assignee)* Attorney

11.  English Translation Document (*if applicable*)

12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS  
Citations

13.  Preliminary Amendment

14.  Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*

15.  Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*

16.  Nonpublication Request under 35 U.S.C.  
122(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent

17.  Other:

**18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:**

Continuation       Divisional       Continuation-in-part (CIP) of prior application No 09/657,258 which is a continuation of application No. 09/261,906, now U.S. Patent No. 6,148,414, issued November 14, 2000

Prior application information: Examiner Group Art Unit:

**For CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

Customer Number or Bar Code Label 20350 or  Correspondence address below  
*(Insert Customer No. or Attach bar code label here)*

<b>Name</b>						
<b>Address</b>						
<b>City</b>			<b>State</b>		<b>Zip Code</b>	
<b>Country</b>		<b>Telephone</b>			<b>Fax</b>	

Name (Print/Type)	Gerald T. Gray	Registration No. (Attorney/Agent)	41,797
Signature		Date	December 6, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231. WC 9032362 v1